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Customer Number

Patent  
Case No.: 56202US049

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: HEPPNER, PHILIP D.

Application No.: 10/772170 Group Art Unit: 1625

Filed: February 4, 2004 Examiner: Aulakh, Charanjit

Title: AMIDE SUBSTITUTED IMIDAZOPYRIDINES

**AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

January 31, 2005  
Date

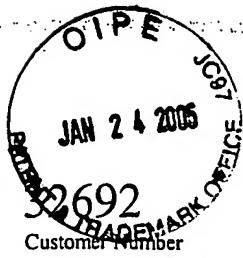
Virginia Bergstrom  
Signed by: Virginia Bergstrom

Dear Sir:

This is in response to the outstanding Office Action, dated 10/21/2004, in the above-identified application.

Upon review of the file, it was noted that the initialed Form PTO-1449 filed with the Supplemental Information Disclosure Statement dated October 14, 2004 has not been received. It is requested that the Examiner initial this Form PTO-1449, a copy of which is enclosed for the Examiner's convenience, and return it to the undersigned agent.

This Amendment is believed to be timely submitted. It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.



**COPY**

Patent

Case No.: 56202US049

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: **HEPPNER, PHILIP D.**

Application No.: **10/772170** Group Art Unit: **1614**

Filed: **February 4, 2004** Examiner: **Unknown**

Title: **AMIDE SUBSTITUTED IMIDAZOPYRIDINES**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

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transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

October 14, 2004

Date

*Virginia Bergstrom*  
Signed by: Virginia Bergstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.

Copies of any cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual

designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

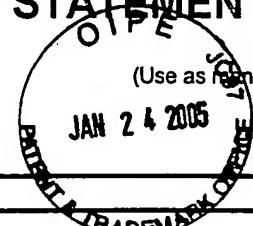
13 October 2004

Date

By: Dean A. Ersfeld  
Dean A. Ersfeld, Reg. No.: 46,689  
Telephone No.: (651) 733-7830

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

|  |  |                      |                    |
|--|--|----------------------|--------------------|
| Substitute for form 1449A/PTO (b)(1)(B)(i) |  | Application Number   | 10/772170          |
|  |  | Filing Date          | February 4, 2004   |
|  |  | First Named Inventor | Heppner, Philip D. |
|  |  | Art Unit             | 1614               |
|  |  | Examiner Name        | Unknown            |
|  |  | Attorney Case Number | 56202US049         |



(Use as many sheets as necessary)

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| U.S. Patent Documents |          |                                  |  |   |   |
|-----------------------|----------|----------------------------------|--|---|---|
| Exam. Init.*          | Cite No. | Document Number                  | Publication Date or Issue Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |          | Doc. Number-(Kind Code if Known) |  |   |   |
|                       | A1       | US-                              |  |   |   |
|                       | A2       | US-                              |  |   |   |
|                       | A3       | US-                              |  |   |   |
|                       | A4       | US-                              |  |   |   |
|                       | A5       | US-                              |  |   |   |
|                       | A6       | US-                              |  |   |   |
|                       | A7       | US-                              |  |   |   |
|                       | A8       | US-                              |  |   |   |
|                       | A9       | US-                              |  |   |   |
|                       | A10      | US-                              |  |   |   |
|                       | A11      | US-                              |  |   |   |

| Foreign Patent Documents |          |                         |                            |                                |   |   |                            |
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| Exam. Init.*             | Cite No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
|                          |          | Ctry. Code              | Number-KindCode (if known) |                                |   |   |                            |
|                          | B1       | WIPO                    | WO 02/46194 A2             | 06-13-2002                     |   |   |                            |
|                          | B2       |                         |                            |                                |   |   |                            |
|                          | B3       |                         |                            |                                |   |   |                            |
|                          | B4       |                         |                            |                                |   |   |                            |
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|                          | B6       |                         |                            |                                |   |   |                            |
|                          | B7       |                         |                            |                                |   |   |                            |

| OTHER DOCUMENTS |          |  |  |  |                            |
|-----------------|----------|--|--|--|----------------------------|
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|                 | C2       |  |  |  |                            |
|                 | C3       |  |  |  |                            |

|  |                  |
|--|------------------|
| *Examiner:   | Date Considered: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |

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Form 10527 - f

Application Of

First Named Inventor: HEPPNER, PHILIP D.

Case No.: 56202US049

File Number

Application No.: 10/772170

Titled

Title: AMIDE SUBSTITUTED IMIDAZOPYRIDINES

**Enclosures:**

Pages Of Spec

Information Disclosure Statement, Form PTO-1449, and  
cited references.

Sheets

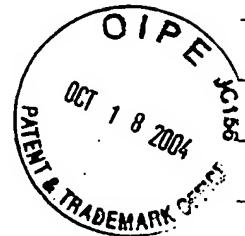
Amount charged to Deposit Account: \$0.00

Draft Included

Attorney (initials): DAE/vlb

Date: October 14, 2004

Attorney (initials)



commonly owned. This agreement is to run with the Subject Patent and to be binding upon the grantee, its successors, or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any Subject Patent that would extend to the expiration date of the full statutory term as defined in 35 USC §§ 154 to 156 and 173 of the First Patent or Second Patent, as presently shortened by any terminal disclaimer filed prior to the grant of the First Patent or Second, in the event such First Patent or Second Patent later: (1) expires for failure to pay a maintenance fee; (2) is held unenforceable or is found invalid by a court of competent jurisdiction; (3) is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321; (4) has all claims canceled by a reexamination certificate; (5) is reissued; or (6) is otherwise terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer filed prior to the grant of such First Patent or Second Patent.

Documents establishing the chain of title of the Subject Application and of the First Patent and of the Second Patent (including the aforementioned assignments and recording locations) have been reviewed and I certify that, to the best of my knowledge and belief, title is in Petitioner.

I declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 USC § 1001 and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Please charge the fee provided in 37 CFR § 1.20(d) and, if necessary, charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

By: Dean A. Ersfeld

Dean A. Ersfeld, Reg. No.: 46,689  
Telephone No.: (651) 733-7830

**Duplicate**

Date

20 January 2005

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833